

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

## **IMAGES ARE BEST AVAILABLE COPY.**

As rescanning documents *will not* correct images,  
Please do not report the images to the  
Image Problem Mailbox.

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : A61M 36/12, 5/32, A61B 17/34	A1	(11) International Publication Number: WO 99/53991 (43) International Publication Date: 28 October 1999 (28.10.99)
<p>(21) International Application Number: PCT/US99/08353</p> <p>(22) International Filing Date: 16 April 1999 (16.04.99)</p> <p>(30) Priority Data: 60/082,759 23 April 1998 (23.04.98) US</p> <p>(71) Applicant: ALZA CORPORATION [US/US]; 950 Page Mill Road, P.O. Box 10950, Palo Alto, CA 94303-0802 (US).</p> <p>(72) Inventor: PEERY, John, R.; P.O. Box 5128, Stanford, CA 94309 (US).</p> <p>(74) Agents: CLARKE, Pauline, Ann et al.; Alza Corporation, 950 Page Mill Road, P.O. Box 10950, Palo Alto, CA 94303-0802 (US).</p>	<p>(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p>	
<p>(54) Title: TROCAR FOR INSERTING IMPLANTS</p> <div data-bbox="505 1171 948 1717"> </div> <p>(57) Abstract</p> <p>An implant retention trocar includes a cannula for puncturing the skin of an animal and an obturator for delivering the implant beneath the skin of the animal. The implant retention trocar has a cannula distal tip design which causes a minimum of trauma and tearing of tissue during implant insertion. A spring element received within the cannula prevents an implant which is to be inserted into an animal from falling out of the cannula during the implant insertion process. The spring element includes a longitudinal leg which is folded with a zig-zag shaped bend. When the spring element is inserted into the cannula the zig-zag shaped bend of the longitudinal leg retains the implant within the cannula.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## TROCAR FOR INSERTING IMPLANTS

### FIELD OF THE INVENTION

The invention relates to a tubular member for inserting drug-containing  
5 devices into animals and more particularly, the invention relates to a trocar for  
inserting implants.

### BACKGROUND OF THE INVENTION

Many different types of delivery systems for delivering beneficial agents  
10 such as pharmaceuticals for the prevention, treatment, and diagnosis of disease are  
known in the art. One type of delivery system is the subcutaneous implant which  
contains a supply of a beneficial agent and is implanted beneath the skin of an  
animal to deliver the beneficial agent over time. Some of the different types of  
subcutaneous implants include osmotic drug delivery implants, dissolvable or  
15 erodable pellet type implants, and diffusional implants. Some examples of osmotic  
delivery implant systems are described in U.S. Patent Nos. 4,111,202; 4,111,203;  
and 4,203,439.

The process of placing subcutaneous osmotic implants and other types of  
implants under the skin is often performed by use of a trocar system which is a two-  
20 piece system, including a cannula and an obturator. With this system, an incision is  
first made through the skin of the patient and the cannula and obturator are inserted  
together through the skin. The obturator is then withdrawn leaving the cannula in  
place as a guide for inserting the implant. The implant is inserted through the bore  
of the cannula while the obturator is used to push the implant to the end of the  
25 cannula. The obturator is then used to hold the implant in a stable axial position  
while the cannula is being withdrawn from the patient to deposit the implant in a  
known position in the channel previously occupied by the cannula. The cannula and  
obturator are then withdrawn completely leaving the implant in place beneath the  
skin.

30 This method of insertion of an implant, including the step of removal of the

obturator for insertion of the implant through the cannula followed by reinsertion of the obturator increases the possibility that sterility of the implant site will be compromised during these steps. However, it is difficult to insert the implant into the cannula prior to insertion of the cannula into the patient because the implant will  
5 tend to fall out of the cannula during the insertion process.

Known trocars can also be used with the implant in the trocar during insertion, however this method relies upon the skilled and careful use by the health care practitioner to orient the trocar so as to employ gravity to retain the implant in the cannula. Alternatively, implants capable of distortion may be held in a cannula  
10 by interference with a wall of the cannula to keep the implant in place against the force of gravity.

Known balling guns have been used in veterinary implantation procedures which retain the implant or bolus tablet in a cannula by either an interference fit or a distortion of the cannula. However, the cannulas are generally complex and  
15 expensive to manufacture.

Accordingly, it would be desirable to provide a trocar system in which an implant may be retained within the cannula in a simple and economical manner during insertion of the cannula into a patient and the implant is easily pushed out of the cannula and into the patient.

20

#### SUMMARY OF THE INVENTION

The trocar system according to a preferred embodiment of the present invention includes a cannula retaining spring element which is fixed to an inside surface of the cannula to retain an implant within the cannula until the implant is to  
25 be delivered by pressure applied by an obturator.

According to one aspect of the present invention, a trocar includes a cannula for receiving an implant and inserting the implant into an animal, a spring element received within the cannula, and an obturator for delivering the implant from the cannula into the animal. The spring element has a leaf spring for retaining the  
30 implant inside the cannula. The leaf spring applies a frictional force against the

implant sufficient to prevent the implant from sliding out of the cannula under the weight of the implant.

In accordance with an additional aspect of the invention, a trocar includes a substantially cylindrical cannula body, a distal end of the cannula body having a leading edge formed by a first plane which is at a first angle with respect to a longitudinal access of the cannula body, and a trailing edge formed by a second plane which is at a second angle with respect to the longitudinal access of the cannula body. The first angle of the leading edge is larger than the second angle of the trailing edge.

10

#### BRIEF DESCRIPTION OF THE DRAWINGS

The invention will be described in greater detail with reference to the accompanying drawings, in which like elements bear like reference numerals, and wherein:

15 FIG. 1 is a top view of a spring element according to the present invention prior to bending of the leaf spring;

FIG 2 is a perspective view of the spring element of FIG. 1 with the leaf spring bent;

20 FIG. 3 is a perspective view of the spring element of FIGS. 1 and 2 which has been bent in an arch for insertion into a cannula;

FIG. 4 is a cross-sectional side view of a portion of a cannula of FIG. 3, taken along line 4-4, with the spring element according to the present invention inserted within the cannula;

25 FIG. 5 is a side cross-sectional view of the cannula and leaf spring element of FIG. 4 with an implant and obturator inserted in the cannula;

FIG. 6 is a side view of a first embodiment of the cannula tip;

FIG. 7 is a side cross-sectional view of the cannula tip of FIG. 6;

FIG. 8 is a side view of a cannula tip according to an alternative embodiment of the invention;

30 FIG. 9 is a side cross-sectional view of the cannula tip of FIG. 8;

FIG. 10 is a side cross-sectional view of a prior art cannula tip with an implant inside the cannula; and

FIG. 11 is a side view of the cannula of FIG. 9 with an implant shown at two different positions inside the cannula.

5

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The implant retention trocar according to the present invention includes a cannula and an obturator for implanting beneficial agent delivery devices in animals.

According to one embodiment the cannula may be used to puncture a foil or other covering material of a sterile sealed implant package. Alternatively, the implant  
10 package may be opened by screwing, tearing, or cutting. The cannula then may be used to remove the implant from the package or the implant may be placed in the cannula by hand or with forceps. The implant is delivered to an implantation site within an animal, generally just beneath the skin, by the trocar by applying pressure  
15 to the obturator. The implant retention trocar causes a minimum of trauma and tearing of tissue during implant insertion.

A first aspect of the invention relates to a spring element 10 received within the cannula of the trocar to prevent an implant which is to be inserted into an animal from falling out of the cannula during the implant insertion process. Another aspect  
20 of the invention relates to the shape of the distal end of the cannula which prevents trauma and tearing of tissue during implant insertion.

The spring element 10 is shown in a finished configuration in FIG. 3 as it is inserted into a cannula 20. The spring element will be described with respect to FIGS. 1-3 according to the steps by which the spring element is formed. As shown  
25 in FIG. 1, the spring element is formed from a thin sheet of metal, such as a stainless steel, titanium, aluminum, copper, or other appropriate spring metal. The sheet is substantially rectangular in shape with a continuous cut 14 forming a T-shaped leaf spring 12. The cut 14 may be made in any known manner such as by punching or photoetching. The leaf spring portion 12 of the spring element 10 has a  
30 longitudinal leg 16 and a cross leg 18 extending substantially perpendicular to the

longitudinal leg. The spring element 10 may be punched, photoetched, laser cut, or formed in any other known method.

As shown in FIG. 2, the longitudinal leg 16 is folded with a zig-zag shaped bend. When the spring element 10 is inserted into a cannula it is the zig-zag shaped bend of the longitudinal leg 16 which will retain the implant within the cannula. The cross leg 18 of the leaf spring 12 is wider than the longitudinal leg 16 in the circumferential direction and provides tabs on either end which secures the leaf spring against motion away from the cannula surface toward the cannula axis. Bending of the longitudinal leg 16 in the zig-zag shape has the effect of shortening the distance from the cross leg 18 to an attachment point 22 where the longitudinal leg meets the rest of the spring element. Once shortened, end portions or tabs of the cross leg 18 lie along the surface of the spring element plate guiding the bent longitudinal leg. The cross leg 18 is slidable in a direction parallel to the cannula axis along the cylindrical surface of the cannula as the zig-zag shaped longitudinal leg 16 is extended and retracted. The ends of the cross leg 18 keep the longitudinal leg 16 properly oriented so that the longitudinal leg does not block loading of the implant into the cannula. The number and angle of the bends in the longitudinal leg 16 may be varied as needed to achieve implant retention.

FIG. 3 illustrates the spring element 10 once it has been bent about a longitudinal axis into an arch shape for insertion into the cannula 20. The radius of curvature of the arched spring element 10 is preferably approximately the same as or slightly smaller than a radius of the cannula 20 in which the spring element will be inserted. Once the spring element 10 is placed within the cannula 20, the spring element is preferably fixed inside the cannula by a sterilizable adhesive, such as cyanoacrylate, epoxy, polyester, acrylic, or other adhesive. The adhesive is preferably both biocompatible and compatible with the beneficial agent inside the implant to be delivered by the trocar. The spring element 10 may also be fixed inside the cannula by other known methods such as welding, laser welding, electric resistance welding, or the like.



Once the spring element 10 has been fixed within the tip of the cannula 20 and an implant has been inserted into the cannula, the zig-zag configuration of the longitudinal leg 16 exerts a force between an external surface of the implant and an internal surface of the cannula to retain the implant in the cannula until it is expelled  
5 by axial sliding of the obturator by the user. Cross sectional views of the cannula 20 and spring element 10 are shown in FIGS. 4 and 5 with and without an implant 30 and obturator 32. As can be seen from the figures, the amount of bend of the longitudinal leg 16 is decreased by the insertion of the implant causing the implant to be retained in the cannula by the spring retention force of the longitudinal leg.

10 FIG. 5 illustrates a distal end of an obturator 32 according to the present invention having a tapered exterior end surface 36 to prevent the spring element 10 from being expelled by motion of the obturator. In particular, the distal end of the obturator has a frusto-conical shape which prevents the obturator from becoming caught on either the edge 34 of the spring element 10 or on the edge of the cross leg  
15 18.

Although the spring element 10 has been described as formed of a metal material, the spring element may also be formed of another spring material such as plastic, in the shape described above. The spring element 10 may be formed of plastic by molding, extruding, cold forming, thermo forming or a combination of  
20 these processes. In addition, the shape of the leaf spring 12 can be modified without departing from the invention. For example, the cross leg 18 of the leaf spring can be formed in any shape as long as it is somewhat larger in width than the longitudinal leg 16. The longitudinal leg 16 may also take on other shapes such as a tapered shape, as long as the leg has sufficient length from the formation of one or  
25 more bends.

The spring element 10 according to the present invention retains the implant within the cannula without requiring the grinding of special retention features into the cannula inner wall which would require expensive secondary operations. The retention spring element 10 according to the invention can be produced  
30 economically by punching, photoetching, or laser cutting and can be inserted in an

automated fashion. Thus, the implant retention trocar can be inexpensively produced as a single-use device formed of recyclable materials.

The preferred embodiments of the cannula tip illustrated in FIGS. 6-11 in combination with the spring element described above provide an improved, easy to use and economical trocar. The cannula tip 40 shown in FIG. 6 includes a distal end having a changing profile between a leading edge 42 and a trailing edge 44 of the distal end opening 46 of the cannula. In particular, when viewed in profile, as shown in FIG. 6, the distal end of the cannula is cut by a first plane 48 and by a second plane 50. The first plane 48 forms an angle  $\theta_1$  with a longitudinal axis of the cannula and the second plane 50 forms an angle  $\theta_2$  with the longitudinal axis. The angle  $\theta_1$  is about 10 to 60 degrees, preferably 20 to 40 degrees, and the angle  $\theta_2$  is about 5 to 45 degrees, preferably 5 to 25 degrees. A difference between the angles  $\theta_1$  and  $\theta_2$  is between about 2 and 50 degrees, preferably between about 6 and 35 degrees.

A transition section 52 of the cannula distal end between the first plane 48 and the second plane 50 is preferably a gradual or blended transition rather than an abrupt transition. A gradual transition according to the present invention may be a slight rounding just at the intersection between the first and second planes. Alternatively, the gradual transition may include a curved surface extending along up to about one third of the distal end opening. This transition section 52 is located within either a central or top third of the cannula between the top and bottom of the cannula. The transition section provides a slight protruding bump which assists in spreading tissue during cannula insertion.

The two different angles  $\theta_1$  and  $\theta_2$  at the distal end of the cannula according to the present invention provide reduced tissue trauma and tearing during the trocar insertion. In particular, the relatively shallow angle at the trailing edge 44 of the cannula 40 avoids coring or tearing tissue during insertion of the cannula and also avoids coring when the cannula is used to puncture its own foil packaging or the packaging of an implant.

As shown in FIG. 7, the trailing edge 44 of the cannula distal end is preferably fully radiused to prevent tissue from catching on this trailing edge during implant insertion. The radiused edge 44 also prevents coring of tissue by preventing a complete plug of tissue from being cut by the cannula. The radiused trailing edge 44 is blended out around the circumference of the cannula with the radiused portion completely blended out at a blend end 54 which is located within the central third of the cannula.

FIGS. 8, 9, and 11 illustrate an alternative of a cannula tip 60 for use with the trocar according to the present invention. The cannula tip 60 has an angled distal end for inserting the cannula into tissue which includes a leading edge 62 and a trailing edge 64 of the cannula. As described above, the profile of the distal end includes an angle which is smaller near the trailing edge 62 than near the leading edge 64. This changing angle of the cannula tip profile helps to avoid tearing or coring of tissue during trocar insertion.

The cannula tip 60 is provided with a leading edge 62 having a reverse grind 66 around approximately one half of the circumference of the cannula. The reverse grind 66 is formed by a grinding operation which creates a beveled exterior surface of the cannula. The reverse grind 66 causes the leading cutting edge 68 of the cannula to be moved from the exterior diameter of the cannula to an interior diameter of the cannula. An angle  $\alpha$  between the longitudinal axis of the cannula 60 and the surface of the reverse grind 66 is approximately 5 to 60 degrees, preferably 20 to 45 degrees. Although the reverse grind 66 is illustrated as having a planar cross-section, the grind may also have a slightly convex or concave cross-section.

One of the advantages of the reverse grind is illustrated by a comparison of FIGS. 10 and 11. In FIG. 10, a cannula 80 according to the prior art is illustrated with an implant 82 shown in hidden lines. If the implant 82 protrudes slightly from the cannula 80 during insertion, it can be seen that a gap 84 is present in which tissue can become trapped. This gap 84 of the prior art cannula increases the trauma and tearing of tissue due to trapping of tissue during implant insertion.

However, with the reverse grind 66 shown in FIG. 11, the cannula leading cutting

edge 68 having the reverse grind forces the tissue away from the implant 82 rather than into a gap between the implant and the cannula preventing tissue entrapment and possible implant jam.

Another advantage provided by the reverse grind 66 involves the improved  
5 cannula insertion due to the ability of the angled surface to push tissue apart during insertion. This angled or tapered surface of the reverse grind reduces tissue trauma and tearing. In addition, the reverse grind 66 improves tracking of the cannula. For example, a conventional cannula having an angle cut distal end as shown in FIG. 10 will track at an angle with respect to the axis of the cannula due to the angle  
10 distal end. However, the reverse grind 66 of the present invention provides a restoring force during cannula insertion which helps the cannula track along a substantially axial path.

FIG. 11 also illustrates a leading edge of an implant 82a positioned at a preferred position inside the cannula 60 for implantation. The implant 82a may be  
15 held at this position during implantation by the obturator or by another holding means. When the forward end of the implant 82a is positioned between the leading edge 68 and the trailing edge 64 of the cannula as shown in FIG. 11 the implant edge will help to force the tissue apart reducing trauma and tearing of the tissue and preventing coring. According to a preferred implantation method, the implant 82a  
20 is positioned within the cannula with a forward end of the implant located between  $1/3$  and  $2/3$  of the way between the leading cutting edge 68 and the trailing edge 64.

The cannulas 20, 40, 60 according to the present invention may be formed of any of the known cannula materials such as plastic or metal. The retention trocar may be a single use device or may be reusable. The cannulas and trocars according  
25 to the present invention are intended for insertion of implants in animals including humans, livestock, and the like.

While the invention has been described in detail with reference to the preferred embodiments thereof, it will be apparent to one skilled in the art that various changes and modifications can be made, and equivalence employed, without  
30 departing from the spirit and scope of the invention.

What is claimed is:

1. A trocar comprising:

a cannula for receiving an implant and inserting the implant into an

5 animal;

a spring element received within the cannula, the spring element having a leaf spring for retaining the implant inside the cannula, the leaf spring applying a frictional force against the implant sufficient to prevent the implant from sliding out of the cannula under a weight of the implant; and

10 an obturator for delivering the implant from the cannula into the animal.

2. The trocar according to claim 1, wherein the spring element includes a plate which is cut to form the leaf spring.

15

3. The trocar according to claim 1, wherein the leaf spring is formed as a T-shaped cut out portion.

4. The trocar according to claim 1, wherein the leaf spring has a  
20 plurality of successive bends and the successive bends are arranged to alternately contact an inside wall of the cannula and an outside of the implant to retain the implant in the cannula.

5. The trocar according to claim 4, wherein the leaf spring has a  
25 longitudinal leg arranged substantially parallel to an axis of the cannula and a cross leg substantially perpendicular to the longitudinal leg, and the plurality of successive bends are formed on the longitudinal leg.

6. The trocar according to claim 4, wherein the leaf spring having the  
30 plurality of successive bends is compressed in a radial direction of the cannula by the insertion of the implant into the cannula.

7. The trocar according to claim 1, wherein the obturator has a tapered distal end to prevent ejection of the spring element from the cannula when the obturator is moved distally to eject the implant from the cannula.

5

8. The trocar according to claim 1, wherein the spring element is fixed within the cannula.

9. A trocar comprising:

10

a substantially cylindrical cannula body;

a distal end of the cannula body having a leading edge formed by a first plane which is at a first angle with respect to a longitudinal axis of the cannula body, and a trailing edge formed by a second plane which is at a second angle with respect to the longitudinal axis of the cannula body; and

15

wherein the first angle of the leading edge is larger than the second angle of the trailing edge.

10. The trocar according to claim 9, wherein a transition between the first plane and the second plane is gradual.

20

11. The trocar according to claim 9, wherein the trailing edge of the cannula body distal end is radiused to prevent coring or tearing of tissue.

12. The trocar according to claim 9, wherein the first angle is about 20 - 35 degrees and the second angle is about 10 - 25 degrees.

25

13. The trocar according to claim 9, wherein a difference between the first angle and the second angle is about 2 - 20 degrees.

14. The trocar according to claim 9, wherein the leading edge of the cannula distal end has a reverse grind extending along between 25 and 75 percent of an exterior circumference of the cannula.

5 15. The trocar according to claim 14, wherein the reverse grind is a surface which intersects an interior surface of the cannula and an exterior surface of the cannula.

10 16. The trocar according to claim 15, wherein a distal most tip of the cannula is located at the intersection of the interior surface and the reverse grind.

17. The trocar according to claim 9, wherein the cannula body includes a leaf spring fixed within the cannula body for retaining an implant.

15 18. A method of implanting an implant in an animal comprising:  
positioning an implant at a preferred location within a cannula with a forward end of the implant located between about  $1/3$  and  $2/3$  of the way between a leading edge of the cannula and a trailing edge of the cannula;  
holding the implant at approximately the preferred location while  
20 inserting the cannula into the animal; and  
delivering the implant from the cannula into the animal.

1/4

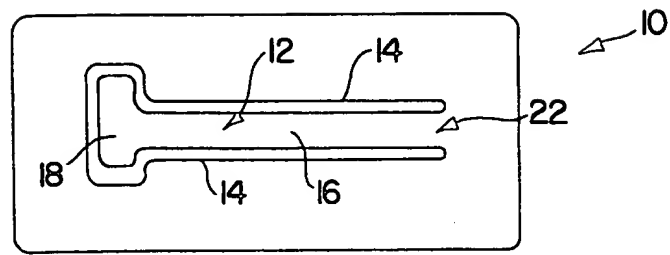


FIG. 1

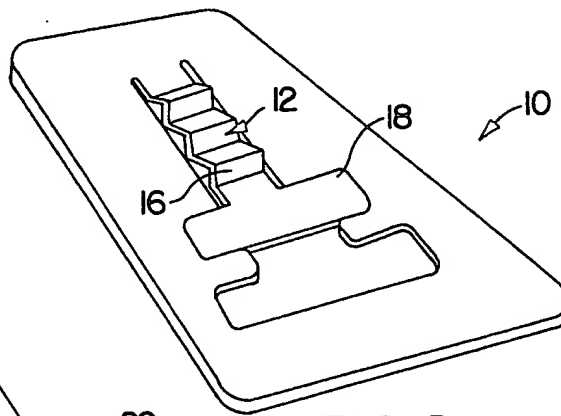


FIG. 2

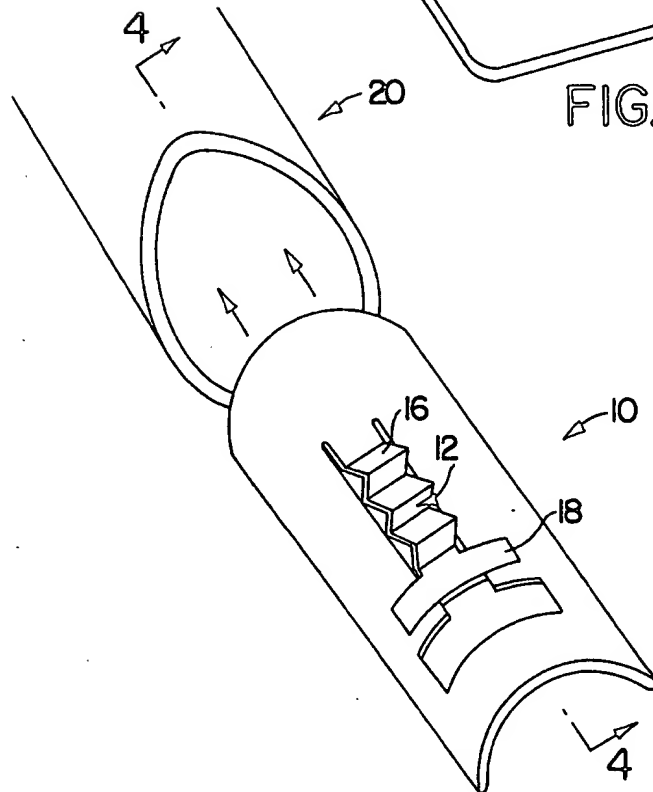


FIG. 3



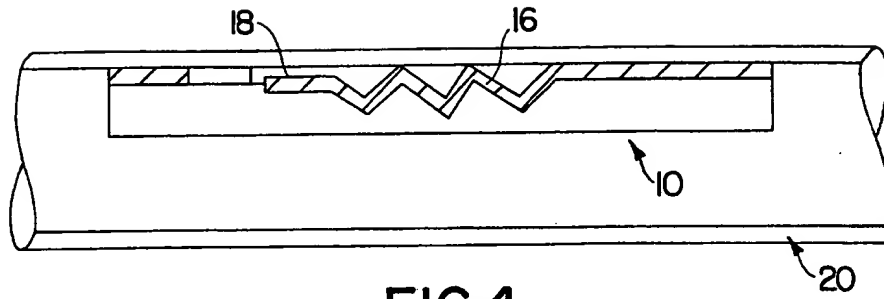


FIG. 4

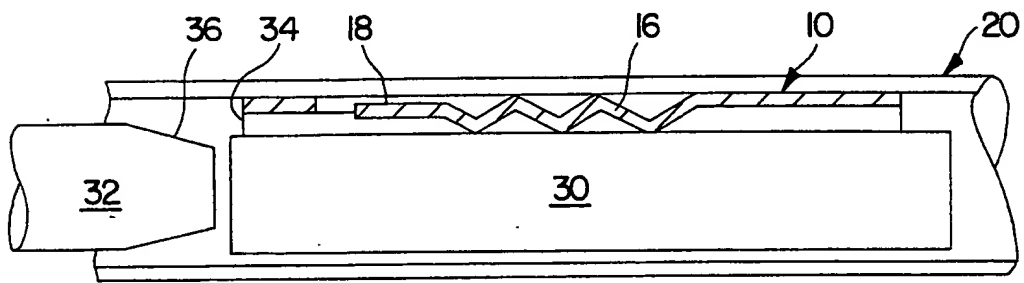


FIG. 5

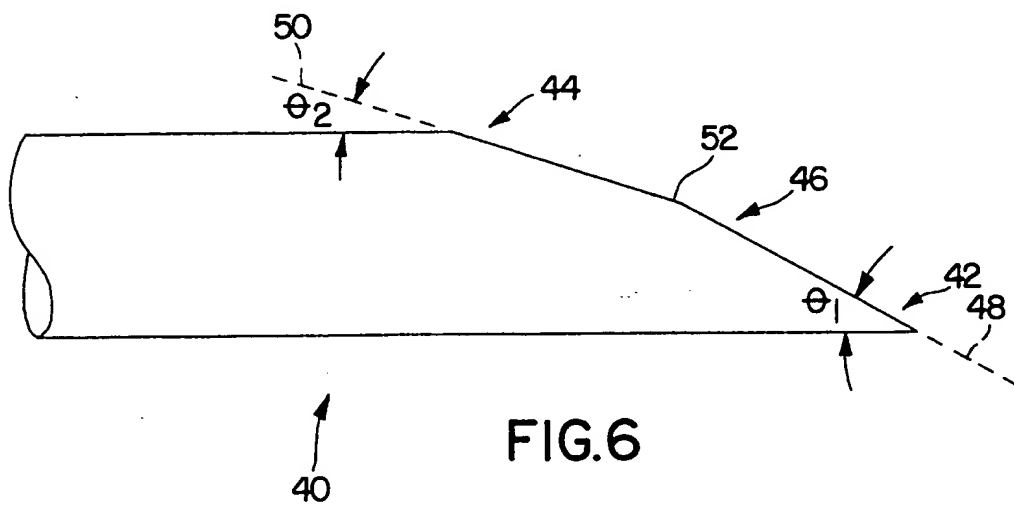


FIG. 6

3/4

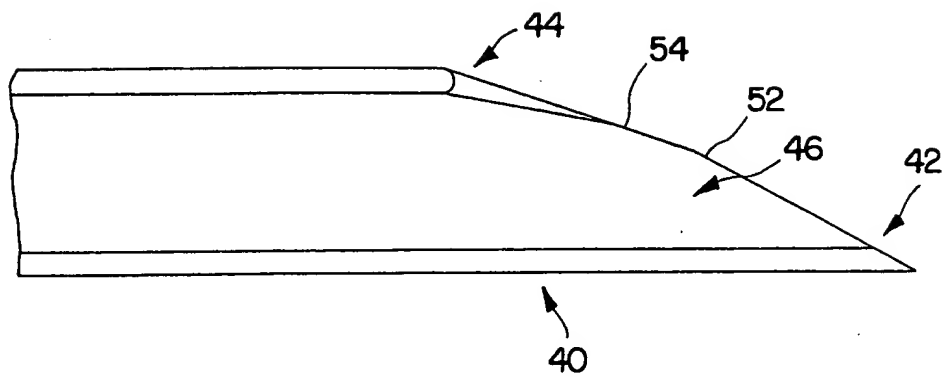


FIG. 7

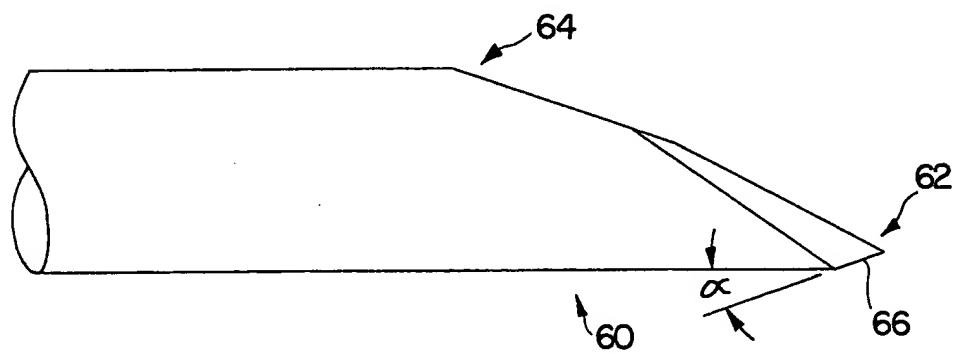


FIG. 8

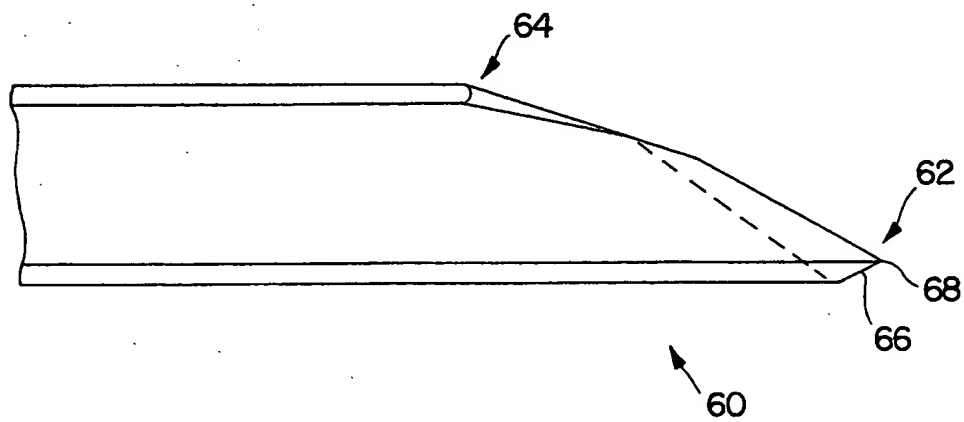
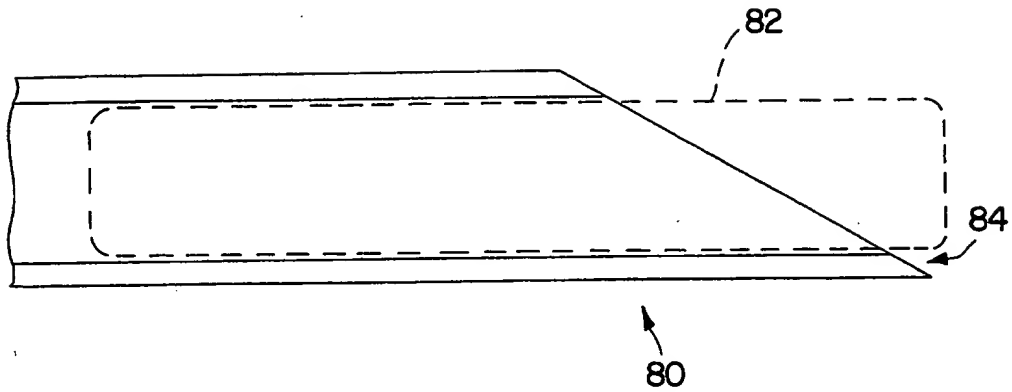
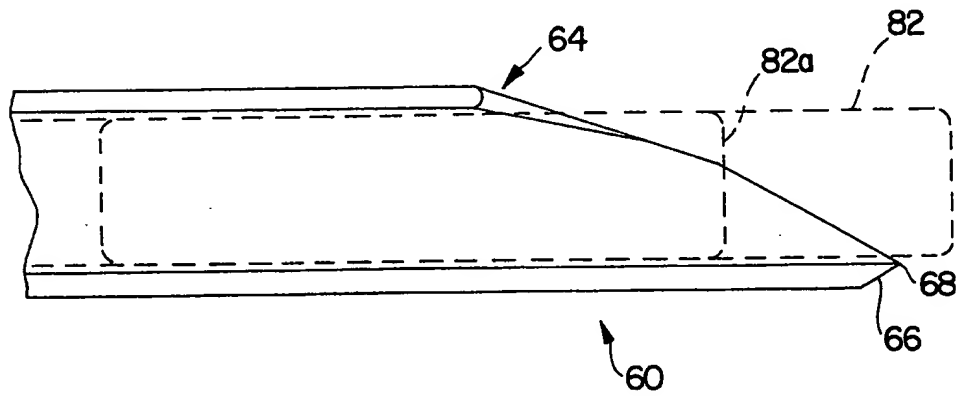


FIG. 9



**FIG. 10**  
PRIOR ART



**FIG. 11**

# INTERNATIONAL SEARCH REPORT

International Application No.  
PCT/US 99/08353

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 6 A61M36/12 A61M5/32 A61B17/34		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 6 A61M A61B A61D A01K		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 639 387 A (TEXAS INSTRUMENTS INC ; TEXAS INSTRUMENTS HOLLAND (NL)) 22 February 1995 (1995-02-22) column 2, line 57 - column 3, line 26; figures	1,2,8  17
A	WO 97 22379 A (KERISMA MEDICAL PRODUCTS L L C) 26 June 1997 (1997-06-26) page 21, line 7 - line 33; figure 13	1,8
A	US 5 520 660 A (LOOS HANS-JOACHIM ET AL) 28 May 1996 (1996-05-28) column 2, line 5 - line 30; figures	1
A	US 2 587 364 A (MITCHELL) 26 February 1952 (1952-02-26) column 3, line 22 - column 4, line 7; figures	1,4,8
-/-		
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.		
* Special categories of cited documents : <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>*A* document defining the general state of the art which is not considered to be of particular relevance</p> <p>*E* earlier document but published on or after the international filing date</p> <p>*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>*O* document referring to an oral disclosure, use, exhibition or other means</p> <p>*P* document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>*Z* document member of the same patent family</p> </div> </div>		
Date of the actual completion of the international search  <div style="text-align: center; font-weight: bold;">30 September 1999</div>		Date of mailing of the international search report  <div style="text-align: center; font-weight: bold;">07.10.1999</div>
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer  <div style="text-align: center; font-weight: bold;">Kousouretas, I</div>

# INTERNATIONAL SEARCH REPORT

International / Location No  
PCT/US 99/08353

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	GB 1 453 698 A (COOPER MCDUGALL & ROBERTSON) 27 October 1976 (1976-10-27) claim 1; figures ---	1
A	US 4 700 692 A (BAUMGARTNER GEORGE C) 20 October 1987 (1987-10-20) abstract; figures ---	1
A	US 5 672 357 A (BAILE CLIFTON AUGUSTUS ET AL) 30 September 1997 (1997-09-30) column 7, line 1 -column 8, line 46; figures 1-14 ---	1
X	US 5 536 259 A (UTTERBERG DAVID S) 16 July 1996 (1996-07-16) column 3, line 1 -column 4, line 30; figures ---	9,10,12, 14-16
X	FR 1 225 009 A (CARRIERI) 28 June 1960 (1960-06-28) page 2, right-hand column, paragraph 2 -page 3, left-hand column, paragraph 3; figures ---	9,11
X	EP 0 739 639 A (SAITO YOSHIKUNI) 30 October 1996 (1996-10-30) abstract; figures ---	9,14-16
A	EP 0 819 442 A (BECTION DICKINSON CO) 21 January 1998 (1998-01-21) abstract; figures -----	9,10

# INTERNATIONAL SEARCH REPORT

International Application No.  
PCT/US 99/08353

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 18  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.

☒ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-8

A trocar comprising a cannula for receiving an implant, a spring element received within the cannula for applying a frictional force against the implant and an obturator for delivering the implant from the cannula into the animal.

2. Claims: 9-17

A trocar comprising a cannula body, a distal end of the cannula body having a leading edge formed by a first plane which is at a first angle and a trailing edge formed by a second plane which is at a second angle with respect to the longitudinal axis of the cannula body, wherein the first angle is larger than the second angle.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/08353

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
EP 0639387	A	22-02-1995	NONE		
WO 9722379	A	26-06-1997	AU	1331497 A	14-07-1997
US 5520660	A	28-05-1996	DE	4320754 A	05-01-1995
			AT	170409 T	15-09-1998
			AU	682504 B	09-10-1997
			AU	6485594 A	05-01-1995
			DE	59406805 D	08-10-1998
			EP	0631794 A	04-01-1995
			ES	2120543 T	01-11-1998
			HU	68041 A	29-05-1995
			JP	7024073 A	27-01-1995
			NZ	260801 A	24-10-1997
			US	5827234 A	27-10-1998
			ZA	9404464 A	27-01-1995
US 2587364	A	26-02-1952	NONE		
GB 1453698	A	27-10-1976	NONE		
US 4700692	A	20-10-1987	NONE		
US 5672357	A	30-09-1997	AU	685981 B	29-01-1998
			AU	3093095 A	25-01-1996
			CA	2194173 A	18-01-1996
			EP	0768871 A	23-04-1997
			WO	9601104 A	18-01-1996
			US	5670162 A	23-09-1997
US 5536259	A	16-07-1996	CA	2181003 A	29-01-1997
			EP	0755690 A	29-01-1997
			JP	9103485 A	22-04-1997
FR 1225009	A	28-06-1960	NONE		
EP 0739639	A	30-10-1996	AU	708563 B	05-08-1999
			AU	5191096 A	07-11-1996
			BR	9602104 A	30-06-1998
			CA	2174459 A	29-10-1996
			CN	1140092 A	15-01-1997
			EP	0739640 A	30-10-1996
			JP	9056815 A	04-03-1997
			US	5575780 A	19-11-1996
			US	5820609 A	13-10-1998
EP 0819442	A	21-01-1998	US	5752942 A	19-05-1998
			AU	2490497 A	08-01-1998
			BR	9703644 A	11-08-1998
			CA	2207644 A	20-12-1997
			JP	10057490 A	03-03-1998
			SG	50844 A	20-07-1998